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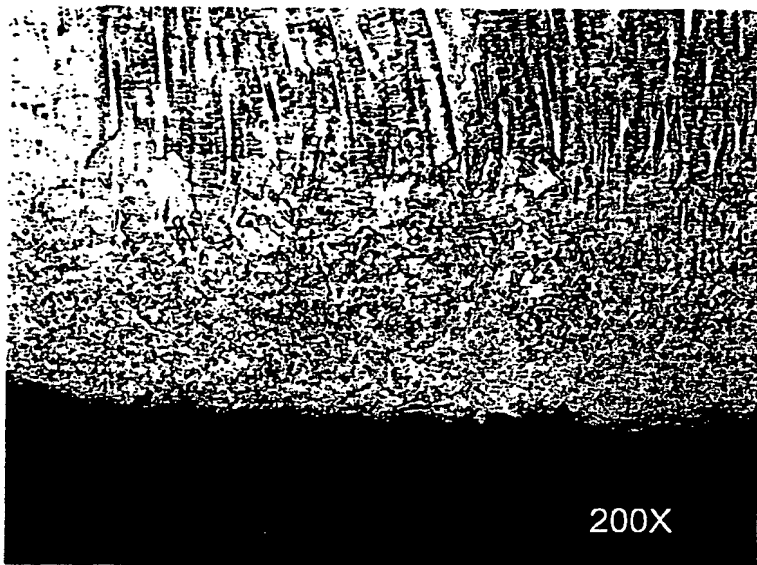
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**09/579,527** **26 May 2000 (26.05.2000)** **US**
- (71) Applicant: **INTEGRAN TECHNOLOGIES INC.**  
[CA/CA]; 1 Meridian Road, Toronto, Ontario M9W 4Z6 (CA).
- (72) Inventors: **LIMOGES, David, L.**; 85 Murrie Street, Etobicoke, Ontario M8V 1X6 (CA). **PALUMBO, Gino**; 9 Tyler Place, Etobicoke, Ontario M9R 1L8 (CA). **LIN, Peter, K.**; 10 Downpatrick Crescent, Toronto, Ontario M9R 4A4 (CA).
- (74) Agent: **MITCHELL, Randall, S.**; Ridout & Maybee, One Queen Street East, Suite 2400, Toronto, Ontario M5C 3B1 (CA).
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(54) Title: SURFACE TREATMENT OF AUSTENITIC Ni-Fe-Cr-BASED ALLOYS FOR IMPROVED RESISTANCE TO INTERGRANULAR-CORROSION AND -CRACKING



(57) Abstract: A surface treatment process for enhancing the intergranular corrosion and intergranular cracking resistance of components fabricated from austenitic Ni-Fe-Cr based alloys comprised of the application of surface cold work to a depth in the range of 0.01mm to 0.5mm, for example by high intensity shot peening, followed by recrystallization heat treatment preferably at solutionizing temperatures (>900C). The surface cold work and annealing process can be repeated to further optimize the microstructure of the near-surface region. Following the final heat treatment, the process can optionally comprise the application of surface cold work of reduced intensity, yielding a cold worked depth of 0.005mm to 0.01mm, in order further enhance resistance to cracking by rendering the near surface in residual compression.

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## Surface Treatment of Austenitic Ni-Fe-Cr-based Alloys for Improved Resistance to Intergranular-corrosion and -cracking

### 5 Field of the Invention

This invention relates to a process for the surface treatment of articles fabricated of austenitic iron-nickel-chromium based alloys, to resist and to deter the onset of intergranular cracking and corrosion. The process  
10 comprises at least one cycle of cold deformation of the near surface region, for example by high intensity shot peening, followed by recrystallization heat treatment. The novel process can be applied to wrought, cast or welded materials, and is particularly suited for in-situ or field application to components such as steam generator tubes or core reactor head  
15 penetrations of nuclear power plants.

### Description of Prior Art

The prior art presents examples of the use of surface cold work, for example  
20 by "shot peening", to effect a state of residual compression at the surface of a material, and thus render the material resistant to the initiation of cracks which require a tensile stress for initiation and propagation. Shot peening is a method of cold working, inducing compressive stresses on and near the surface layer of metallic parts. The process consists of impinging on the test  
25 article a stream of shot, directed at the metal surface at high velocity under controlled conditions.

Although peening cleans the metal surface, the major purpose is to impact and enhance fatigue strength. Peening processes are known to relieve  
30 tensile stresses that contribute to stress-corrosion cracking. Yamada in U.S. Patent No. 5,816,088 (1998) describes a surface treatment method for a steel workpiece using high speed shot peening. Mannava in U.S. Patent No. 5,932,120 (1999) describes a laser shock peening apparatus using a low

energy laser. Harman and Lambert in US 4,481,802 (1984) describe a method of peening the inside of a small diameter tube in order to relieve residual tensile stresses.

- 5 Friske and Page in US 3,844, 846 (1974) describe a surface deformation treatment by shot peening, which is applied to austenitic Cr-Fe-Ni alloys without subsequent heat treatment, in order to render the surface region highly deformed, and subsequently more resistant to intergranular corrosion in the event that the article becomes exposed to sensitization temperatures,  
10 i.e., 400-700°C, during service.

- Kinoshita and Masamune in US Patent No. 4,086,104 (1978) also describe a surface deformation treatment for austenitic stainless steel components, applied following final mill annealing or hot rolling treatments, which renders  
15 the surface of the stainless steel more resistant to oxide scale formation during subsequent exposure to high temperature steam.

- Anello in US Patent No. 4,495,002 (1985) describes a three step process for martensitic stainless steels to increase their resistance to chloride corrosion,  
20 wherein, an article is subjected to surface deformation via shot peening, followed by an aging treatment at 527-549°C, and followed by a final lower intensity shot peening. In such manner, a homogeneous near surface region consisting of aged martensite is obtained which is resistant to chloride corrosion and cracking.

- 25 Palumbo in US Patent Nos. 5,702,543 (1997) and 5,817,193 (1998), describes thermomechanical mill processes involving the application of bulk cold work, such as cold drawing or cold rolling, followed by a recrystallization heat treatment to improve the grain boundary microstructure of austenitic Ni-  
30 Fe-Cr alloys and thereby effect significant improvements in intergranular corrosion- and cracking- resistance.

We have discovered that finished and semi-finished articles made of austenitic Ni-Fe-Cr alloys, whether in the wrought, forged, cast or welded condition, may be subjected to cold deformation of the near surface region by a technique such as shot peening, followed by annealing of the article at a temperature  
5 below its melting point for a time sufficient to induce recrystallization in the cold-worked near surface region. In this specification, "near surface region" refers to the surface layer of the article to a depth in the range from 0.01mm to about 0.5mm.

## 10 **Summary Of the Invention**

It is a principal object of this invention to provide a surface treatment methodology which will alter the crystalline structure in the near surface region of a finished article or component made of austenitic Ni-Fe-Cr alloys to  
15 impart significant resistance to intergranular corrosion and cracking during service of the article or component, without the need for bulk deformation thereof by a process of rolling, extruding, forging or the like.

It is a further object of this invention to provide a surface treatment process as  
20 aforesaid, which may be used to treat and improve the degradation and corrosion resistance of finished parts of complex shape and parts which may already be in service, in particular, nuclear steam generator tubes, nuclear reactor head penetrations and the like.

25 With a view to achieving these objects, there is provided a method for improving intergranular corrosion and cracking resistance of an article fabricated of an austenitic Ni-Fe-Cr alloy by subjecting the alloy to at least one cycle comprising the steps of:

30 (i) cold working the surface region of the article to a depth in the range of from 0.01mm to about 0.5mm; and

(ii) annealing the article at a temperature below the melting point of said alloy for a time sufficient to induce recrystallization in said surface region.

### Brief Description of the Drawings

5

Preferred embodiments of the invention are described in detail below, with reference to the drawings. The three figures are comparative cross-sectional optical micrographs of an austenitic alloy, in which:

10 Figure 1(a) is a micrograph of as-received Alloy 625;

Figure 1(b) is a sample of the same Alloy 625 material but subsequent to treatment by a single cycle of surface deformation (shot peening) and recrystallization, according to the present invention; and

15

Figure 1(c) is an optical micrograph for the same alloy, which has been treated according to two cycles of the process according to the present invention.

### 20 Preferred Embodiments of the Invention

As is known by those skilled in the metallurgical art, cold working involves mechanical deformation of an article at a low enough temperature that dislocations are retained, leading to a structure of noncrystallized, deformed  
25 grains. Hot working, on the other hand, results in an article having primarily recrystallized grains.

This invention relies on cold-working the surface layer of the article, followed by an annealing treatment which results in recrystallization of the deformed  
30 region. Shot peening is a non-conventional method of cold-working in which compressive stresses are induced in the exposed surface layers of metallic parts by the impingement of a stream of shot, directed at the surface at high

velocities under controlled conditions. When shot in a high-intensity stream contacts the test article surface, they produce light, rounded depressions in the surface, causing a plastic flow to extend up to 0.5mm (0.2") below the surface. The metal beneath this layer remains unaffected. The penetration  
5 depth of the peening into the exposed surface of the article can be controlled by the hardness, weight and size of the shot and the impact velocity.

The heat-treatment of the austenitic Ni-Fe-Cr article, following the peening, is carried out at temperatures and times sufficient to allow complete  
10 recrystallization to occur, and which are sufficient to ensure that chromium carbides are retained in solution. The peening and heat treatment can optionally be repeated a number of times to achieve optimum homogeneity in near-surface microstructure. Also, a final lower intensity surface deformation may be applied following heat treatment in order to impart compressive  
15 stresses in the near surface of the treated article. In the case of precipitation hardenable austenitic Ni-Fe-Cr alloys, the final recrystallization treatment or reduced intensity peening treatment may be followed by an aging heat treatment to effect the precipitation of strengthening phases.

20

### Example 1.

A section of austenitic weld overlay Alloy 625 (chemical composition: 63.5%Ni, 21.4%Cr, 8.29%Mo and 9.4%Fe) was obtained in the as-cast condition.  
25 Samples of the material were treated according to the preferred embodiments of this invention, whereby exposed surface were shot peened according to the conditions outlined in Table 1. Following each peening cycle, the samples were recrystallized at a temperature of 1000°C (1850°F) for 5 minutes and air cooled. Figure 1 shows cross-sectional optical micrographs of (a) the as-received  
30 material (F), and (b), (c) material treated by the preferred embodiments of this invention, in one and two cycles (G-1, G-2), respectively. As noted in these

micrographs, the treated materials display a recrystallized surface layer extending approximately 0.005 in. into the specimens.

5 Treated samples and the as-received materials were subsequently subjected to a 'sensitization' heat treatment which simulates a manufacturing stress relief protocol; this treatment was applied as follows: samples were heated to a target temperature of 1650°F (899°C) at a heating rate of 400°F (204°C) per hour from room temperature; the samples were held at 1650°F (899°C) for 20 minutes, and subsequently furnace cooled to a temperature of 600F (315°C), and then  
10 air cooled to room temperature.

All samples were subsequently corrosion tested as per ASTM G28A to evaluate resistance to intergranular corrosion arising from sensitization. The test involves 120 hour exposure in boiling ferric sulfate – sulfuric acid. Replicated samples  
15 of approximately 0.0615 in x 0.5 in. x 2 in. were accurately dimensioned to determine exposed surface area and weighed to 1 mg accuracy prior to, and following exposure in order to establish mass loss, and corrosion rate in mils per year.

20 Table 2 summarizes the measured corrosion performance. As-received and sensitized material (F), not treated according to the preferred embodiments of this invention display a corrosion rate of 393 mils per year. Material treated by the preferred embodiments of this invention and subsequently sensitized displays a marked improvement in sensitization- and corrosion- resistance with  
25 G-1 and G-2 specimens displaying similar average corrosion rates of 40 and 41 mils per year respectively.

Table 1: Details of applied shot peening parameters

30

Shot Peening	Time	Hardened Steel Shot Size	Air Pressure (psi)
One Cycle	7 minutes	0.028 in.	80
Two Cycles	(1) 7 minutes	0.028 in.	80
	(2) 5 minutes	0.028 in.	80

Table 2: Sample nomenclature and process descriptions and G-28 Corrosion Results

Sample	Process conditions	Average Corrosion Rate (mils/year)
5 F	As Received + Sensitization Treatment	393
G-1	Single cycle + Sensitization Treatment	40
G-2	Two cycles + Sensitization Treatment	41

10 By using the process of the invention, a wide variety of articles may, without bulk deformation, be treated to increase significantly their resistance to corrosion.



**We claim:**

1. A method for improving intergranular corrosion and cracking resistance of an article fabricated of an austenitic Ni-Fe-Cr alloy by subjecting the alloy to  
5 at least one cycle comprising the steps of:

(i) cold working the surface region of the article to a depth in the range of from 0.01mm to about 0.5mm; and

10 (ii) annealing the article at a temperature below the melting point of said alloy for a time sufficient to induce recrystallization in said surface region.

2. A method according to claim 1, wherein said cold working comprises shot peening of the surface of the article.

15

3. A method according to claim 1, wherein said cold working comprises laser peening of the surface of the article.

4. A method according to claim 1, wherein said cold working comprises  
20 hammer peening of the surface of the article.

5. A method according to claim 1, wherein following completion of the final cycle of said steps (i) and (ii), the article is subjected to surface cold work of an intensity less than that applied in step (i).

25

6. A method according to claim 1, wherein following completion of the final cycle of said steps (i) and (ii), the article is subjected to ageing heat treatment to precipitate strengthening phases.

30 7. A method according to claim 5, wherein following said surface cold work of less intensity, the article is subjected to an ageing heat treatment to precipitate strengthening phases.

8. A method according to claim 2, wherein said article is a nuclear steam generator tube.

5 9. A method according to claim 2, wherein the article is a nuclear reactor core head penetration.

10. A method according to claim 1, wherein successive treatment steps (i) and (ii) are applied only to a localized surface region of said article.

10 11. A method according to claim 10, wherein said localized region is a weld.

12. A method according to claim 11, wherein said localized region is the heat-affected zone of a weld.

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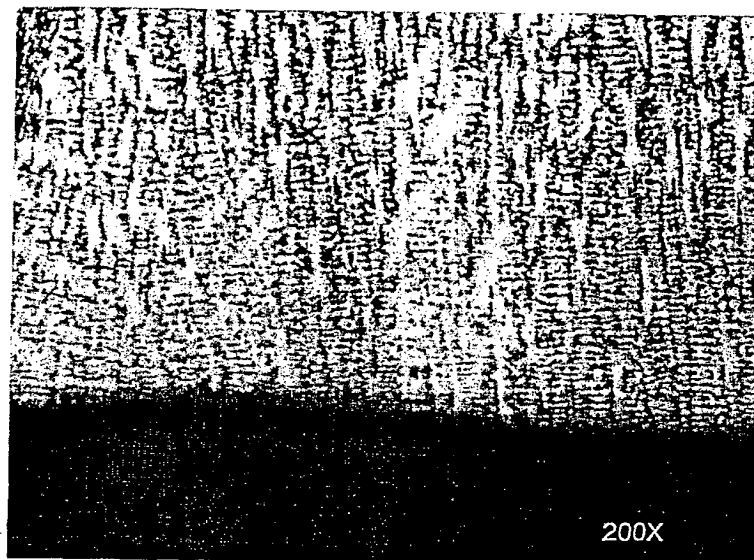


Figure 1(a)

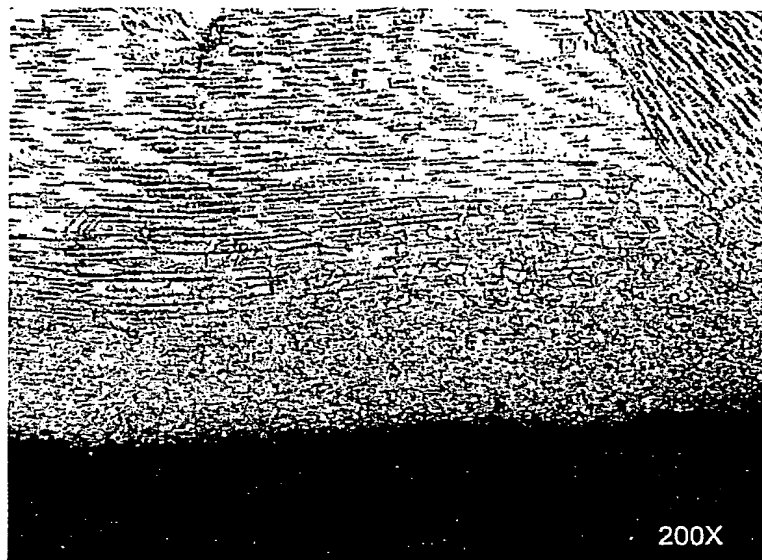


Figure 1(b)

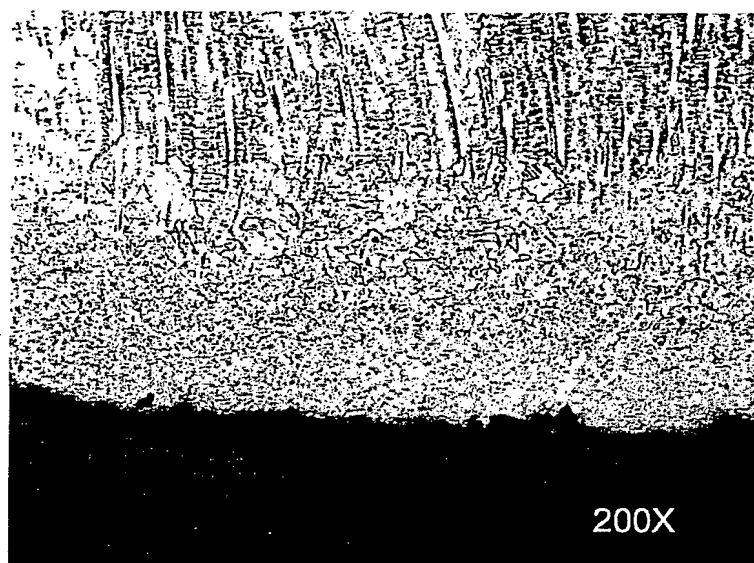


Figure 1(c)



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[CA/CA]; 1 Meridian Road, Toronto, Ontario M9W 4Z6  
(CA).

(72) Inventors: **LIMOGES, David, L.**; 85 Murrie Street, Etobicoke, Ontario M8V 1X6 (CA). **PALUMBO, Gino**; 9 Tyler Place, Etobicoke, Ontario M9R 1L8 (CA). **LIN, Peter, K.**; 10 Downpatrick Crescent, Toronto, Ontario M9R 4A4 (CA).

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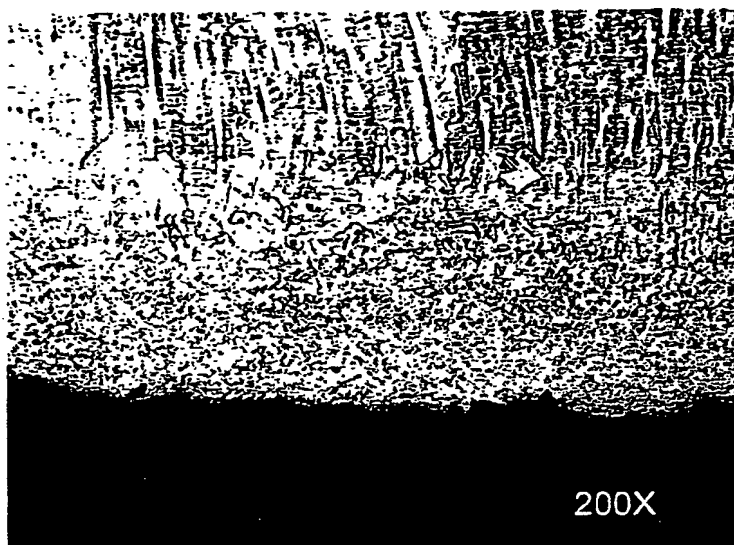
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(54) Title: SURFACE TREATMENT OF AUSTENITIC Ni-Fe-Cr-BASED ALLOYS



(57) Abstract: A surface treatment process for enhancing the intergranular corrosion and intergranular cracking resistance of components fabricated from austenitic Ni-Fe-Cr based alloys comprised of the application of surface cold work to a depth in the range of 0.01mm to 0.5mm, for example by high intensity shot peening, followed by recrystallization heat treatment preferably at solutionizing temperatures (>900C). The surface cold work and annealing process can be repeated to further optimize the microstructure of the near-surface region. Following the final heat treatment, the process can optionally comprise the application of surface cold work of reduced intensity, yielding a cold worked depth of 0.005mm to 0.01mm, in order further enhance resistance to cracking by rendering the near surface in residual compression.



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# INTERNATIONAL SEARCH REPORT

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According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

PAJ, CHEM ABS Data, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 008, no. 222 (C-246), 9 October 1984 (1984-10-09) -& JP 59 107068 A (HITACHI SEISAKUSHO KK), 21 June 1984 (1984-06-21) abstract	1-4, 6, 8-12
X	PATENT ABSTRACTS OF JAPAN vol. 008, no. 098 (C-221), 9 May 1984 (1984-05-09) -& JP 59 013057 A (TOKYO SHIBAURA DENKI KK), 23 January 1984 (1984-01-23) abstract	1-4

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040. Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Gregg, N



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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>DATABASE CA 'Online!            CHEMICAL ABSTRACTS SERVICE, COLUMBUS,            OHIO, US;            CZYRYCA, ERNEST J. ET AL: "The fatigue and            corrosion fatigue properties of Alloy 625            and 70/30 copper-nickel alloy electrosag            surfacing"            retrieved from STN            Database accession no. 129:263839 CA            XP002186101            abstract            &amp; TRI-SERV. CONF. CORROS., PROC. (1997),            VOLUME 1, 30/1-30/19 PUBLISHER: NATIONAL            TECHNICAL INFORMATION SERVICE,            SPRINGFIELD, VA. ,            1997,</p>	1
A	<p>US 3 844 846 A (FRISKE W ET AL)            29 October 1974 (1974-10-29)            cited in the application</p>	
A	<p>EP 0 933 438 A (GEN ELECTRIC)            4 August 1999 (1999-08-04)            cited in the application</p>	

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Information on patent family members

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